



Substitute for form 1449/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (use as many sheets as necessary)

Sheet 1 of 7

Complete if Known

Application Number	09/677,535
Filing Date	10/02/2000
First Named Inventor	Bruce BENT et al.
Art Unit	3696
Examiner Name	Daniel S. Felten

Attorney Docket Number 049212-0103**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
E1		US - 5,220,501	06-15-1993	LAWLOR et al.	
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				Art Unit	3696
				Examiner Name	Daniel S. Felten
				Attorney Docket Number	049212-0103

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Number-Kind Code ² (if known)					
E40	US - 2006/0155644	07-13-2006	REID et al.		
E41	US - 2006/0167773	07-27-2006	YANG et al.		
E42	US - 2006/0273152	12-07-2006	FIELDS		
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	E59	WO - 02/42952	05-30-2002	COMMONWEALTH BANK OF AUSTRALIA	T ⁶

NON PATENT LITERATURE DOCUMENTS					
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	E60	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Promontory Interfinancial Network, LLC and MBSC Securities Corporation, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2675.			
	E61	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2677.			

Examiner Signature	/Daniel Felten/	Date Considered	06/22/2009
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				Art Unit	3696
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	E62	Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation p/k/a Reserve Management Corporation, Complaint, March 24, 2009, Civil Action No. 1:09 CV 316.				
	E63	Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation p/k/a Reserve Management Corporation and Lids Capital LLC, Amended Complaint, March 27, 2009, Civil Action No. 1:09 CV 316.				
	E64	Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, Double Rock Corporation and Intrasweep LLC, against Promontory Interfinancial Network, LLC, Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, Complaint, April 14, 2009, Case No. 09 CV 3750.				
	E65	Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation, p/k/a Reserve Management Corporation, Island Intellectual Property LLC and Lids Capital LLC, including Cover Sheet, Summons and Complaint, April 14, 2009, Civil Action No. 3:09 CV 217.				
	E66	Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation p/k/a Reserve Management Corporation, Island Intellectual Property LLC, Lids Capital LLC and Intrasweep LLC, Amended Complaint, April 15, 2009, Civil Action No. 3:09 CV 217.				
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	E75	Capital Briefs: Corporate Checking Account Relief Sought, American Banker, Vol. 162, July 28, 1997, 1 Sheet.				
	E76	CMA, Insured Savings Account Fact Sheet, Merrill Lynch, Pierce, Fenner & Smith Incorporated, 1997, pages 49-57.				
	E77	CMA, The Investor Credit Line Service, Cost-Effective Financing for the '90s, Merrill Lynch, Pierce, Fenner & Smith Incorporated, 1997, pages 36-46.				
	E78	CMA, The Merrill Lynch Cash Management Account Financial Service, Merrill Lynch, Pierce, Fenner & Smith Incorporated, January 1997, 35 Sheets.				
	E79	Deposit Growth Strategies For Financial Institutions, New Sweep Account Helps Retain \$40 Million in Business Deposits, Vol. 7, No. 12, The Reserve Funds, May 2001, 1 Sheet.				
	E80	FDIC Federal Register Citations: Email from Bert Ely to Comments, 03/08/2006, Subject: Large-Bank Deposit Insurance Determination Proposal- RIN 3064-AC98 -- Regs@fdic.gov. Attached, also from FDIC Federal Register Citations: Email From American Banker, by Bert Ely, 02/24/2006, Viewpoint: FDIC's Account-Link Plan a Pointless, Costly Threat				
	E81	FDIC, Federal Deposit Insurance Corporation, Letter to Mr. Ronald Rexter, February 28, 2003, From Michelle M. Borzillo, Counsel Supervision and Legislation Section, 2 Sheets.				
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	E85	Insured Cash Account Program Disclosure Booklet, LPL Financial Services, Linsco/Private Ledger, Member NASD/SIPC, 14 Sheets.				
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	E87	Letter From Joseph A. DiNuzzo, Counsel, October 20, 1999, FDIC, Federal Deposit Insurance Corporation, 1 Sheet.				

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	E88	Letter From Roger A. Hood, Assistant General Counsel, July 16, 1986, FDIC, Federal Deposit Insurance Corporation, Legal Division, 1 Sheet.		
	E89	Letter From Jamey Basham, Attorney, LEXSEE 1990 FDIC Interp. Ltr., Lexis 1, Federal Deposit Insurance Corporation, FDIC-90-02, January 3, 1990, 2 Sheets.		
	E90	Letter From Merle Y. Waldman, LEXSEE 1985 Sec No- Act., Lexis 1593, Securities Exchange Act of 1934 -- Section 15(a), January 8, 1985, 11 Sheets.		
	E91	Letter To Mr. James E. Creekman, Group Vice President, From Oliver Ireland, Associate General Counsel, August 1, 1995, 4 Sheets.		
	E92	Letter To Mr. Jonathan L. Levin, Esq., From Oliver Ireland, Associate General Counsel, October 18, 1996, 2 Sheets.		
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	E94	Letter To Ms. Brenda L. Skidmore, Senior Vice President, From Oliver Ireland, Associate General Counsel, August 30, 1995, 4 Sheets.		
	E95	Merriam-Webster Online Dictionary, 10th Edition, Definition of "Associated", 2 Sheets.		
	E96	Merrill Lynch Announces Beyond Banking, The Power of Advice For Smarter Cash Management, January 8, 2 Sheets.		
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	E98	Merrill Lynch & You, January 2000, "Financial Services The Way You Want, When You Want Them," 4 Sheets.		
	E99	Merrill Lynch, Pierce, Fenner & Smith Incorporated, "Information Statement," 2000, 12 Sheets.		
	E100	Money Fund Report, Bank of New York Adds Insured Sweeps Option, Friday, May 3, 2002, The Reserve Funds, 1 Sheet.		
	E101	Money Fund Report, Insured Cash Sweep Options Proliferate, Friday, June 1, 2001, The Reserve Funds, 1 Sheet.		

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	E102	Money Market Insight's, Goldman Sachs May Create Bank to Offer Insured Cash Sweeps, August 2002 Issue, 3 Sheets.			
	E103	MUNK, Merrill Makes New Push Into Traditional Banking, Dow Jones Newswires, January 3, 2003, 1 Sheet.			
	E104	O'BRIAN, "Money-Market Funds Suit Many Investors, But Proud Creator Frets About Extra Risk," Re-Printed From The Wall Street Journal, Monday, November 6, 2000, Dow Jones & Company, Inc., 2 Sheets.			
	E105	On Wall Street, Helping Brokers Build A More Successful Business, The Power of CASH, June 2002, 2 Sheets.			
	E106	On Wall Street, Helping Brokers Build A More Successful Business, Unusual Products For Unusual Times, May 2001, 2 Sheets.			
	E107	Online, www.usabancshares.com , Brave New World, 1999, 2 Sheets.			
	E108	Part: 2, Monetary Policy and Reserve Requirements, Subpart – Regulation D, Board Interpretations of Regulation D, Transaction Accounts – Linked to Time Deposits, Volume 1, Federal Reserve Regulatory Service, 2 Sheets.			
	E109	RING, National /Global, "Amex Spans The Globe in Retail Bank Buildup," November 27, 2000, 1 Sheet.			
	E110	Sweeping Your Firm Into FDIC Insured Deposits, Harken Financial Services, August 4, 2006, 8 Sheets.			
	E111	Testimony of Bruce R. Bent, CEO of The Reserve Funds, Before The Financial Institutions and Consumer Credit Subcommittee House Financial Services Committee U.S. House of Representative, Hearing On H.R. 758 and H.R. 859, March 5, 2003, 4 Sheets.			
	E112	The Depository Trust Company, B#: 3875, October 1, 2002, Settlement\Underwriting, From: Denise Russo, Director, Underwriting, 6 Sheets.			
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
Sheet	7	of	7	Application Number	09/677,535
				Filing Date	10/02/2000
				First Named Inventor	Bruce BENT et al.
				Art Unit	3696
				Examiner Name	Daniel S. Felten
				Attorney Docket Number	049212-0103

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	E115	The Reserve Funds, "Objectives, Observations & Strategies For American Enterprises Inv., October 18, 2000, 11 Sheets.		
	E116	The Reserve Funds Press Release, "The Reserve Funds and Frontier Bank Partner to Offer Revolutionary Banking Product," 5 Sheets, August 1, 2000.		
	E117	The Reserve Funds, Reserve Management and Irwin Union Bank and Trust Company Partner to Offer The Reserve Return Sweep, For Immediate Release, March 8, 2001, 2 Sheets.		
	E118	The Unmatched Sweep Solution From The Cash Management Expert, 2 Sheets.		
	E119	WADDELL, "Sweeping Clean," Advisor, The Advisor to Advisors, 2 Sheets.		

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